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## Review on Nasal Microspheres

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### ABSTRACT

Microsphere is a carrier system for delivery of therapeutic candidate. Nasal microspheres offers significant advantages over other type of drug delivery system. It modulates absorption characteristics of the drug by enhancing drug residence time in the nasal cavity and subsequently may increase bioavailability profile of administered drug. Prior to formulation some physicochemical properties of drug are need to be considered like molecular weight, solubility, pKa and partition coefficient of drug etc. Till date various methods have been adopted for fabrication of nasal microspheres are like spray drying, emulsion crosslinking, Solvent Evaporation etc. Obtained microspheres can characterized for various properties like its morphology, drug content, and zeta potential etc. Present review mainly focuses on overview of microsphere technology for nasal administration of drug.

**Keywords:** Microspheres, Nasal, Mucociliary Clearance

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## INTRODUCTION

Nasal drug delivery system has been used since ancient times to deliver drugs locally or systemically. Nasal route offers several advantages like porous endothelial membrane, highly vascularized mucosa, large surface area presence of microvilli structure of the epithelial cells, absence of first-pass hepatic metabolism, easily accessible and has good patient acceptability. This route suffers from drawbacks like mucociliary clearance, low bio-availability for large proteins, unsuitability of drug and other excipients in the formulations irritating to the mucosa, enzymatically active nature of nasal mucosa.<sup>1</sup> Problems like mucociliary clearance, lower membrane permeability and enzymatically active nature of nasal mucosa can be overcome by use of mucoadhesive systems, absorption enhancers like surfactants (bile salts and their derivatives) and enzyme inhibitors respectively.<sup>2</sup> Nasal absorption of drug is mainly affected by Physico-chemical factors like molecular weight, drug solubility and dissolution, Pka and partition coefficient of drug, Polymorphism, Prodrug, Particle size and Morphology, Design of dosage form etc. Nasal drug delivery is the promising route for drugs which show large first-pass metabolism after oral administration and poor stability in the gastrointestinal tract. The present review will mainly focus on basic concept of microparticulate system for nasal drug delivery, methods of preparation, evaluation parameters and applications.<sup>3-4</sup> Micro-particulate system was used as carrier for many drugs like Amlodipine Besylate, Atenolol, Carvedilol, Ondansetron Hydrochloride, Lamotrigine, Midazolam, Carvedilol<sup>5-13</sup> which are listed in table 1.

**Table 1 . List of Drugs Formulated in Micro-Particulate System<sup>5-13</sup>.**

Sr.N	Drug	Polymer	Method	Comments
1	Amlodipine Besylate	Polyvinyl Alcohol (PVA)	Phase Separation Emulsification	To avoid first-pass metabolism of drug.
2	Atenolol	Hydroxy Propyl Methyl Cellulose (K4M)	Spray Drying	Better absorption, dose reduction and avoidance of first pass metabolism of drug.
3	Ondansetron HCl	Chitosan	Spray Drying	To avoid first pass metabolism and to improve therapeutic efficacy of drug.
4	Lamotrigine	Chitosan	Emulsion Solvent Evaporation	CNS Targeting.
5	Midazolam	Hydroxy Propyl Methyl Cellulose	Emulsion Cross Linking	To avoid first pass metabolism, improve the patient compliance, and to improve therapeutic efficacy of drug.
6	Midazolam	Carbopol	Emulsion Cross Linking	To avoid first pass metabolism of drug.
7	Carvedilol	Poly(D, L-Lactide-Coglycolide Acid)	Spray Drying	To avoid first pass metabolism of drug.
8	Propranolol	Bovine Serum	Emulsification-	To avoid first pass metabolism

9	HCl Zolmitriptan	Albumin Chitosan	Heat Stabilization Emulsion-Cross Linking	and better absorption of drug. Bioavailability improvement by increasing residence time of microspheres inside nasal cavity.
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### Merits

1. Ease of administration<sup>14</sup>, amenable to self-medication<sup>15</sup> and better patient compliance.<sup>16</sup>
2. It offers larger surface area for better drug absorption<sup>17</sup>
3. Drugs like propranolol, progesterone and enkephalins show effective absorption when administered by nasal route.<sup>18</sup>
4. Nasal route provides some unique features like porous endothelial basement membrane, highly vascularized epithelial layer and high blood flow.<sup>19</sup>
5. Avoidance of first pass effect as it lacks pancreatic and gastric activity.<sup>20</sup>
6. Intranasal immunization can elicit both mucosal and systemic immune responses<sup>21</sup>
7. The olfactory region of nasal mucosa provides direct access towards brain for effective targeting CNS acting drugs like anti Alzheimer's, anti depressants, anti migraine, anti schizophrenic etc<sup>22</sup>.

### Demerits

1. The major drawback suffered by this route is presence of mucociliary clearance which reduces the contact time of applied drug delivery.<sup>23</sup>
2. Poor bioavailability (1% or less) for peptides due to its low membrane permeability.<sup>24</sup>
3. Volume that can be delivered into nasal cavity is restricted to 25–200  $\mu$ l.<sup>25</sup>
4. It is only suitable for drug with low dose and this route is influenced by pathological conditions like rhinitis<sup>15</sup>.
5. Surfactants used as penetration enhancers in high concentration can damage or may dissolve nasal membrane.<sup>26</sup>
6. Poor ability of polar compounds to cross mucosal membranes hence leads to poor bioavailability.<sup>27</sup>

## PHYSICOCHEMICAL PROPERTIES OF DRUG

### 1. Molecular Weight and Size of the Drug.

The molecular weight and molecular size of the drug greatly influence its nasal absorption. It is believed that molecular weight of drug is having inverse relationship with its absorption.<sup>5</sup> Nasal absorption of drug reduces significantly when its molecular weight exceeds above 1000 daltons. Water soluble drugs move across nasal mucosa by passive diffusion through aqueous pores<sup>28</sup>. Fisher et al in their work studied nasal absorption of various water-soluble compounds based on their molecular weight, compounds under study were 4-oxo-4H-1-benzopyran-2-carboxylic acid, p-aminohippuric acid, insulin and dextran with molecular weight

190,194, 5200,70000 respectively. All the compounds were administered in nasal cavities of anaesthetized male wistar rat and also given by intravenous route. Nasal absorption of the administered compounds were estimated by comparison of the extent of excretion in bile and urine following intranasal and intravenous administration, it was found that 4-oxo-4H-1-benzopyran-2-carboxylic acid, p-aminohippuric acid, insulin, dextran shown 100%,75%, 15% and 2.8% absorption respectively. This data confirms an inverse relationship between molecular weight and absorption.<sup>29</sup> Peptides with molecular weight greater than 1000D shows poor bioavailability i.e 0.5 to 5%. Low bioavailability can be improved by co-administration of an absorption enhancers. In another study by Morten et al in 2001, shown that the bioavailability of Peptide T was significantly increased when glycofurol or sodium glycocholate (absorption enhancer) was added to a nasal formulations. The nasal bioavailability of Peptide T in water (control formulation), 5% glycofurol, 5% glycofuro +1% sodium glycocholate and 1% sodium glycocholate was found to be 5.9, 22, 29 and 59%, respectively. This results significantly explains the outcome of study i.e improved bioavailability of peptide T by use of absorption enhancer.<sup>30</sup>

## 2. Drug Solubility and Dissolution Rate.

Drug solubility and dissolution rate affects nasal absorption of drug. Drug with poor solubility in water and high effective dose are considered to be poor candidates for nasal drug delivery system. Prior to absorption, drug should be in its solubilised form for better absorption otherwise it will be cleared off from the nasal cavity. Small volume in the nasal cavity restricts the use of drugs with large doses. The nasal secretions are aqueous in nature, hence drug should possess sufficient hydrophilicity but and at the same time drug should have sufficient lipophilicity to cross nasal mucosa.<sup>31</sup> Co-solvents like glycols, small quantities of alcohol, Transcutol (diethylene glycol monoethyl ether), medium chain glycerides and Labrasol are used to enhance the solubility of drugs. Drug dissolution is the prerequisite for absorption hence it should be well studied prior to formulation of nasal microsphere.<sup>32</sup>

## 3. Pka and Partition Coefficient of Drug.

Both the pH of the nasal cavity and pKa of a particular drug are important consideration in systemic absorption of drug. The pH in the range of 4.5 to 6.5 is necessary to avoid nasal irritation.<sup>32</sup> pH partition theory states that, the un-ionized fraction of a drug is more permeable than ionized form.<sup>33-34</sup> Ohwaki et al in their study had studied the effects of dose, pH and osmolarity on the nasal absorption of secretin in rats. The maximal and minimal absorption of secretin was found at pH 3 and pH above 7 respectively. This results represent that pH of the formulation play a significant role in the absorption of drug. Authors finally concluded that the better absorption shown by the preparation is mainly due to low pH of the preparation. Low pH

of the formulation causes structural changes in epithelial cells of the nasal mucosa which serve as the key factor in nasal absorption of secretin.<sup>35</sup> Corbo et al studied barrier properties of mucosal membranes using progesterone and a series of its hydroxyl progesterone analogues. Membrane permeability was found to decrease as the order of hydrophilicity of the progestins increased, indicating that the lipid domain plays an important role in the barrier function of these membranes.<sup>36</sup>

#### **4. Chemical State: Prodrug.**

Nasal absorption of impermeable small drug molecules can be improved by using prodrug approach. Al-Ghananeem et al in their work studied the nasal route for the systemic delivery of 17-beta-estradiol using water soluble prodrug of 17beta-estradiol. They prepared several alkyl prodrug of 17beta-estradiol. In vivo nasal experiments were performed on rats. Radio immuno-assay using a gamma counter was used to determine the levels of 17beta-estradiol in plasma and cerebro spinal fluid (CSF). The study revealed that rate of absorption and bioavailability was high for prodrug. Authors suggested that these prodrug are capable of producing high levels of estradiol in the CSF and as a result may have a significant value in the treatment of Alzheimer's disease<sup>37</sup>. In another work by Yang C et al synthesized the, L-Aspartate beta-ester, L-lysyl, and L-phenylalanyl esters of acyclovir to investigate their effectiveness in enhancing nasal absorption of plain acyclovir.<sup>38</sup> Prodrug approach have been used for drugs like 5-iodo-29-deoxyuridine<sup>39-41</sup>, and L-dopa successfully<sup>42</sup>.

#### **5. Physical State: Particle Size and Morphology**

Particle size and morphology are important factors need to be considered for determining dissolution performance of drug. Micro particulate system suffers from drawback like feeling of grittiness when administered intra nasally, such feeling may be combated to some extent by maintaining its particle size. Particles with lesser particle size may be inhaled into the lung while the particle with greater size may be cleared off by mucociliary clearance, hence this factor should always be considered while developing intranasal micro particulate system.<sup>43</sup>

#### **6. Polymorphism**

Polymorphism mainly affects dissolution of drug and thereby its absorption. polymorphic form of the same drug may show different physicochemical properties and hence its determination is an important concern before developing any nasal particulate systems.<sup>43</sup>

#### **MUCOCILIARY CLEARANCE**

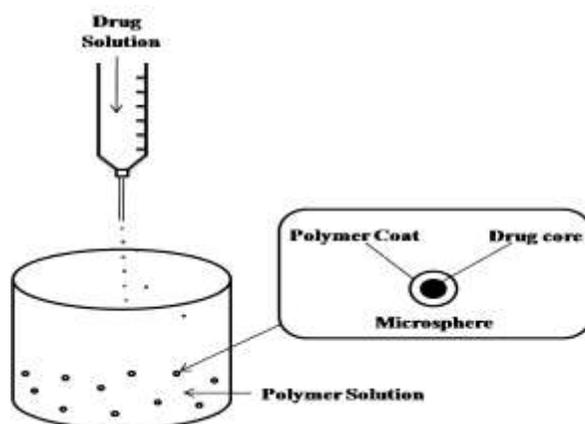
The effect evoked by cilia and mucus layer together is called as mucociliary clearance. It is generally referred as self cleaning mechanism of nose. Motile hair-like structure extending from the surface of epithelial cells called Cilia ranges from 5 to 10 mm in length and 0.1 to 0.3 mm in width respectively. The approximate number of cilia present per cell is 200, with a density of

six–eight cilia per  $\mu\text{m}^2$ . When measured *in vitro*, average beat frequency of human nasal cilia is found to be 10 Hz. Mucus exhibits Non-Newtonian flow (Viscoelastic). Normal mucociliary transit time reported is 12 to 15 min with average nasal clearance rate of 8mm/min.<sup>44-45</sup> Corbo *et al* had investigated nasal mucociliary clearance, by simple and inexpensive saccharin test. For there study school children ranging between 11-14 years of age were selected. They placed particle of diameter 1 mm on the inferior nasal turbinate 1 cm from its anterior end and the time at which initial perception of a sweet taste was sensed, recorded in minutes.<sup>46</sup> Rate of mucociliary clearance is primarily depends on ciliary beat frequency, hence nasal drug formulation should devoid of any effect on ciliary beat frequency of nose.<sup>47</sup>

## METHODS FOR PREPARATION OF NASAL MICROSPHERES.

### 1.Spray Drying.

Spray drying is the well studied technique in the development of microsphere formulations. In this technique micro-spheres are obtained by spraying the solution mixture of drug and other excipients like polymer, permeation enhancer etc into the spray dryer. Many researcher were attempted this method for fabrication of micro-spheres. He *et al* in 1997, developed chitosan and ethyl cellulose microspheres by using Spray Dying technique. In his study, he used chitosan and ethyl cellulose as polymers for microsphere preparation.<sup>48</sup> Yeamin Huh *et al* in 2010, prepared spray-dried hyaluronic acid micro-spheres for intranasal delivery of fexofenadine hydrochloride, In their preparation they dissolved Fexofenadine·HCl and Hyaluronic acid in specific quantity of ethanol and water, respectively. after mixing both the solutions, sodium taurocholate and/or PEG 6000 was added into it. The prepared mixture was then spray dried to obtain nasal micro-spheres.<sup>49</sup> In another work, Elisabetta Gavini *et al*, developed nasal microsphere of metoclopramide by using 5- methylpyrrolidinone chitosan as a polymer. 5-Methylpyrrolidinone chitosan is a chitosan derivative in which the amino groups of glucosamine units of the polysaccharide backbone are partially substituted by methyl pyrrolidinone at position 5. This



**Figure 1: Phase separation and Coacervation method.**

derivative of chitosan provides the biocompatibility and hydrophilic characteristics of the chitosan and pyrrolidinone moiety respectively.<sup>50</sup> Sadhana R. Shahi et al, prepared nasal microspheres of atenolol by using HPMC K4M polymer. Methanol and dichloromethane was used as solvent to dissolve drug and polymer. Prepared solution mixture was then spray dried to obtain microspheres. But some process parameters like size of spray nozzle, inlet temperature and spray flow rate in relation to spray dryer are required to be maintained during spray drying technique.<sup>6</sup>

## 2. Emulsion Crosslinking.

Preparation of an emulsion followed by its cross-linking using cross-linking agents like glutaraldehyde, formaldehyde, genipin etc is the main underlying principal involved in this technique. Mali et al prepared Zolmitriptan mucoadhesive microspheres of chitosan by simple w/o emulsification-crosslinking method. They used liquid paraffin (heavy and light, 1:1) as an external oil phase and chitosan solution containing drug as an internal water phase. Internal aqueous phase was then added slowly into external oily phase to obtain w/o emulsion under constant stirring. Dioctyl sodium sulfosuccinate was used as stabilizing agent and mixed with external oily phase prior to addition of aqueous phase. This emulsion was then cross-linked by using glutaraldehyde.<sup>13</sup> In another study, Desai et al prepared mucoadhesive microspheres of midazolam for brain targeting. HPMC was used as a mucoadhesive polymer and liquid paraffin (heavy and light 1:1 mixture) as an oil phase. Aqueous phase was prepared by dissolving HPMC in phosphate buffer followed by addition of drug. 0.5% tween 80 was used as surfactant.<sup>9</sup> Nasal microspheres of Levodopa<sup>51</sup>, Simvastatin,<sup>52</sup> Propranolol Hydrochloride<sup>53</sup>, Domperidone<sup>54</sup> were also fabricated by using this method.

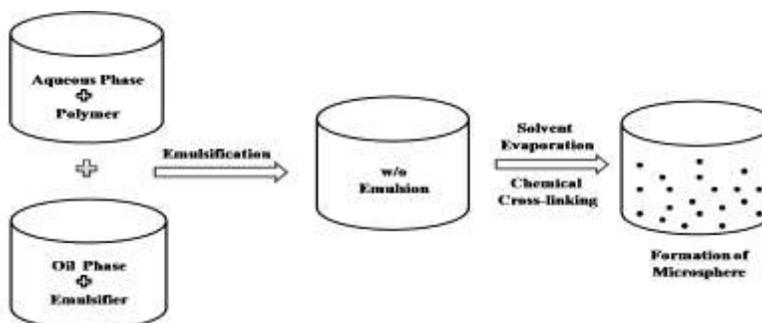
## 3. Solvent Evaporation Method.

This method involves the formation of either water in oil (w/o) or oil in water (o/w) type of emulsion followed by controlled heating to evaporate solvent present in it. Kellaway et al prepared nasal microsphere of Fluorescein isothiocyanate–dextran by using water-in-oil (w/o) emulsion solvent evaporation technique. In this study, water-in-oil (w/o) emulsion was prepared by using Mannide Monooleate as an emulsifying agent and mineral oil as an oil phase. Obtained emulsion was then subjected to controlled heating for removal of aqueous phase. Mineral oil was then decanted from the aqueous free volume to obtain microspheres.<sup>55</sup> Nasal microsphere of drugs like Lamotrigine<sup>8</sup>, Neostigmine Bromide<sup>56</sup>, Somatostatin Acetate<sup>57</sup> are also prepared by using this method. Some authors utilized the same approach for preparation of nasal microspheres by using single and double emulsion solvent evaporation technique.

### Single Emulsion Solvent Evaporation.

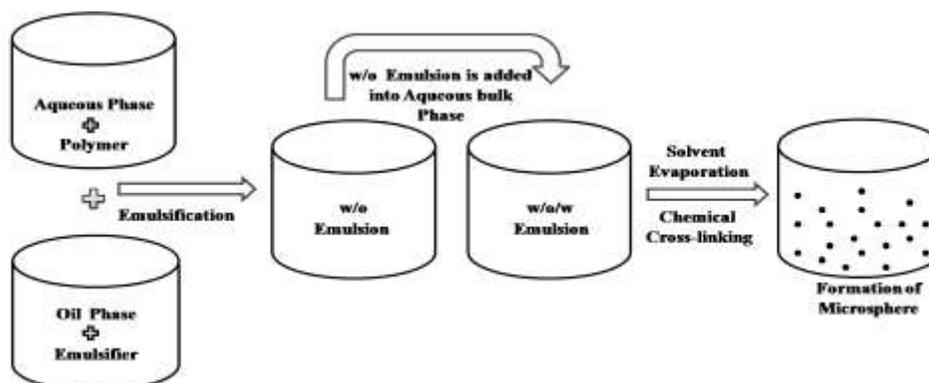
It involves solubilization of given polymer in suitable solvent (aqueous or oily) followed by

addition of drug. This mixture was then added into aqueous or oily solvent to prepare oil in water (o/w) or water in oil (w/o) emulsion respectively. Resultant emulsion was then left for evaporation of volatile solvent either by stirring or controlled heating for specific period of time. After complete evaporation of solvent, prepared microspheres are collected, washed and stored in suitable container.<sup>58</sup>



**Figure 2: Single Emulsion Solvent Evaporation Technique**

#### Double Emulsion Solvent Evaporation.



**Figure 3: Double Emulsion Solvent Evaporation Technique.**

It involves preparation of primary emulsion (w/o or o/w) which is further added into aqueous or oily solvent to form double emulsion (w/o/w or o/w/o). resultant double emulsion was then left for evaporation of volatile solvent either by stirring or controlled heating for specific period of time. after complete evaporation of solvent, prepared microspheres are collected, washed and stored in suitable container.<sup>58</sup>

#### 4. Iontropic Gelation Method.

Prasanth et al formulated Salbutamol sulphate alginate (SSA) microspheres by Iontropic Gelation Method. They dissolved sodium alginate in distilled water then Salbutamol sulphate was added into it. This mixture was then homogenized to obtain Salbutamol Sulphate-Sodium Alginate (SS-SA) dispersion. This dispersion of drug was then added dropwise into solution of gelling agent to get microspheres.<sup>59</sup> Counter ions play an important role in formation of microspheres. Counter ions can be categorized into 3 sections like high molecular weight counterions (e.g. octyl sulphate, lauryl sulphate, hexadecyl sulphate, cetylstearyl sulphate), low

molecular weight counter ions (e.g. pyrophosphate, tripolyphosphate, tetrapolyphosphate, octapolyphosphate, hexametaphosphate ), and hydrophobic counter ions (e.g. alginate, -carragenan, poly-1-hydroxy-1-sulphonate-propene-2, polyaldehyde-carbonic acid) Polymer dissolved in suitable solvent is allowed to drop in the solution of counter ions which leads to formation of microsphere.<sup>60</sup>

### 5. Phase separation and Coacervation method

Nagamani et al formulated irbesartan loaded microspheres by Phase separation and Coacervation method. Weighed amount of gelatin was dissolved in distilled water by heating the solution and then drug is dispersed in above gelatin solution. This dispersion was then added gradually to sunflower oil, and finally cross linked by using formaldehyde. Obtained microspheres was then subjected for drying at 37°C and stored in colored glass containers.<sup>61</sup>

### Characterization of Microspheres

Microspheres are unique carrier system for delivery of many drug molecules and can be characterized by using various techniques which are summarized in table 2

**Table 2. Characterization of Micro-spheres<sup>62-66</sup>.**

Sr.No	Technique Used	Parameter Assessed
1	Scanning Electron Microscopy (SEM)	Morphology of Microspheres (Shape and Surface Characteristics) In vitro degradation of the microspheres by its morphology
2	Laser Diffraction Spectroscopy (LDS)	Particle Size Analysis
3	FT-IR Spectroscopy	Compatibility Studies
4	Powder X-Ray Diffraction (PXRD)	Particle Behaviour
5	UV-Spectroscopy	Drug Content/ Drug Loading
6	Optical Microscopy	Particle Size Analysis
7	Photon-Correlation Spectroscopy	Zeta-Potential
8	Laser Doppler Anemometry	Zeta-Potential
9	Thermal Analysis (Differential Scanning Calorimetry)	physical state of the drug inside the polymer
10	Confocal Laser Scanning Microscopy (CLSM)	Localization of drug, i.e., on the surface and/or inside the microsphere,

### Morphology of Microspheres.

The morphology (Shape and Surface Characteristics) of the micro-particles can be studied by using Scanning Electron Microscopy (SEM) with the help of scanning electron microscope. operations involved in this technique are carried out at an inert atmosphere with the help of inert gases like argon and nitrogen. Photographic images produced by scanning electron microscope of respective micro-spheres can be helpful to predict its morphology.<sup>62</sup>

### Particle Size Analysis.

The size distribution of the micro-particles can be measure by Laser Diffraction (LD)(62) or by

Microscopical Image Analysis<sup>63</sup> or by Optical Microscopy<sup>66</sup>. Martinac et al. in their work had examined 3000 micro-particles by Microscopical image Analysis technique. Then obtained data is subjected for analysis by software to get particle size distribution.

### **Compatibility Studies.**

Compatibility studies can be predicted out with the help of Fourier transform infra-red spectroscopic (FT-IR) technique. Velaga et al in their work did compatibility studies between zolmitriptan(drug) and chitosan(polymer) by using Fourier transform infra-red spectroscopic (FT-IR) analysis. They used the same technique for determining the extent of cross-linking performed on chitosan micro-particles. Presence of an extra peak or absence of peak in the IR spectra of standard drug when formulated in micro-particles represents the interaction between drug and non drug components (polymer).<sup>62</sup>

### **Drug Content.**

UV-spectroscopic technique are now a days widely used to find out drug concentration present in micro-particles. Martinac and co-researchers were used UV-spectroscopic technique for the determination of Loratadine in the prepared micro-spheres. UV absorbance of extracted solution of drug from micro-particles at its  $\lambda_{max}$  can be used to find out its concentration present in micro-particles. Similarly samples withdrawn during dissolution test at respective time intervals can be analyzed by UV-spectroscopic technique to find out its drug content.<sup>62-63</sup>

### **Zeta-potential of the microspheres.**

Zeta-potential of the micro-particles can be measured by Photon-Correlation Spectroscopy<sup>63</sup> or by Laser Doppler Anemometry<sup>65</sup>

### **Physical State of Drug Inside The Polymer**

Thermal analytical technique like Differential Scanning Calorimetry(DSC) can be used to determine physical state of drug inside the polymer whether it is available in crystalline or amorphous form. Presence of an endothermic or exothermic peak in DSC curve will reflect the physical status of the drug inside the polymer. along with DSC ,the X-Ray Diffraction (XRD) Studies are also carried out to know the physical status of drug.<sup>66-67</sup>

### **Drug Location .**

Confocal Laser Scanning Microscopic technique can be used to locate the drug present on the surface and/or inside the micro-spheres.<sup>66</sup>

### **In-Vitro Swelling Studies.**

Coulter Laser Diffraction technique can be used to determine in-vitro swelling properties of the prepared microspheres. Gavini et al in their work allowed to suspend micro-spheres in the phosphate buffer (pH 7.0) for 5 min under magnetic stirring. Particle size distributions, the mean diameters (dvs) are then determined and placed in following formula to find out in vitro swelling

index of micro-spheres,

$$\text{Swelling Index} = (d_2 - d_1)/d_1$$

with  $d_1$  is the dvs measured in silicon oil and  $d_2$  is the dvs measured after 5 min on contact with the buffer solution<sup>68</sup>.

### **In-Vitro Mucoadhesion Studies**

Determination of mucoadhesive strength is an important concern in case of mucoadhesive microspheres. Gavini et al in their work were studied the in-vitro mucoadhesion of micro-particles, by pouring sample of Ten milligrams of microparticles on filter paper which was saturated with mucin solution for 10 minutes in a chamber with controlled humidity and at room temperature. Microparticles sticking on the surface were then recovered by washing the filter paper with water and the amount of the drug was determined by an UV spectrophotometric analysis.<sup>68</sup>

### **In-vitro Diffusion Studies**

Franz diffusion cell is widely used for in-vitro diffusion studies. Jose et al carried out in-vitro diffusion studies of lorazepam microspheres by using dialysis membrane (Spectra/Por® dialysis tubing). Donor compartment was filled with simulated nasal fluid and receptor compartment with phosphate buffer maintained at pH 6.2. amount of drug diffuse was calculated by using UV-spectroscopic analysis.<sup>69</sup>

### **Histopathological Evaluation of Mucosa.**

In this type of study, generally bovine nasal mucosa is exposed to the nasal formulation which is then subjected observed under light microscope. Microscopic images obtained under light microscope can reveal extent of damage or injury to the tissues of nasal mucosa if any.<sup>69</sup>

## **CONCLUSION**

Microspheres are considered as better choice of drug delivery system because it offers many options like better target specificity and patient compliance. Nasal microspheres is the unique carrier system for delivery of many drugs unsuitable by other routes. Use of mucoadhesive polymer in such type of system may be used to improve bioavailability of given therapeutic moiety by increasing its residence time in nasal cavity. Though such type of carrier system offers many merits but further comprehensive study is needed, to overcome many drawbacks associated with it.

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